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| Europass  Curriculum Vitae | |  | | |
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| Personal information | |  | | |
| Surname(s) / First name(s) | | Fratiloiu Bogdan Alexandru | | |
| Address(es) | | Bucharest/1, Romania | | |
| Telephone(s) | |  |  |  |
| E-mail(s) | | alexbogdan1977@gmail.com | | |
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| Nationality | | Romanian | | |
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| Date of birth | |  | | |
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| Gender | | Male | | |
| Work experience    Dates    Occupation or position held    Main activities and responsibilities  Major achievements | | February 2022 - present  **Group Compliance Director for Sun Wave Pharma Group (Romania/Serbia/Bulgaria)**  Responsible for Corporate Compliance and Healthcare Compliance (direct report to GM and dotted line to Chief Compliance Officer of Letter One Group); Member of the Management Team  Implementing the Compliance Program in Sun Wave Pharma (Code of Conduct, Directive Integrity in Business, 3rd parties’ due diligence and compliance training, Anti-Trust Internal Standard)  - Investigating the compliance incidents (reporting, compliance assessment, compliance investigation, compliance remediation, follow up regarding implementation of compliance measures)  - Working closely with Commercial and Medical Departments regarding compliance risks assessment  - Offering compliance advisement regarding all questions and requests received on the Compliance Communication Channels  -providing yearly Compliance Training (Corporate Compliance, Healthcare Compliance – Interactions with HCPs and HCOs, GSD, PSP) to managers and key persons from Business Divisions  - GDPR implementation and Data Protection enforcement   * Implementing the corporate and healthcare compliance framework in Romania/Bulgaria/Serbia * Perform due diligence for M&A in Serbia and Bulgaria   **Sun Wave Pharma – member of Letter One Group** | | |
| Work experience    Dates    Occupation or position held    Main activities and responsibilities  Major achievements  Name and address of employer | | January 2016 – February 2022  **Country Compliance Manager for Roche Pharma & Diagnostics (Corporate & Healthcare Compliance)/Data Protection Officer for Roche Romania**  Responsible for Corporate Compliance and Healthcare Compliance (direct report to GM and dotted line to Chief Compliance Officer of Roche Group); Member of Extended Management team of Roche Romania  Implementing the Compliance Program in Roche Pharma & Diagnostics (Code of Conduct, Directive Integrity in Business, 3rd parties due diligence and compliance training, Anti-Trust Internal Standard)  - Investigating the compliance incidents (reporting, compliance assessment, compliance investigation, compliance remediation, follow up regarding implementation of compliance measures)  - Working closely with Commercial and Medical Departments regarding compliance risks assessment  - Offering compliance advisement regarding all questions and requests received on the Compliance Communication Channels  -providing yearly Compliance Training (Corporate Compliance , Healthcare Compliance – Interactions with HCPs and HCOs, GSD, PSP) to managers and key persons from Business Divisions  - GDPR implementation and Data Protection enforcement  -Providing tailored compliance training in multiple compliance area to about 160 persons yearly  -Investigation of compliance incidents and solving them according to the company policies and business objectives  - Implementing Roche Group directives and SOPs on Healthcare Compliance (Symphony, PSP)  - Responsible for Transparency Project (Disclosure of ToV to ANMDM and EFPIA)  - Member of EEWG of ARPIM and ARPIM Arbitration Committee  **Roche Romania – part of Roche Group, Basel/Switzerland**  **Ana Tower building, Bul. Poligrafiei 1A, Bucharest/1** | | |

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| Dates    Occupation or position held    Main activities and responsibilities  Major achievements    Name and address of employer | June 2015 – January 2016  **Country Compliance Manager**  Direct report to CEO  Implementing the Compliance Program (elaborating Code of Conduct, Business Ethics Directive, Issuer Compliance Directive, Anti-Trust Internal Standard)  - Investigating the compliance incidents (reporting, compliance assessment, compliance investigation, compliance remediation, follow up regarding implementation of compliance measures)  - working closely with Internal Audit Department regarding compliance investigation  - offering compliance advisement regarding all questions and requests received on the Compliance Communication Channels  -providing yearly Compliance Training (Business Ethics, Human Rights, Stakeholders Engagement, Know your Customer, Third Parties Compliance Assessment) to managers and key persons from Business Divisions  - Creating from scratch a brand new compliance program for a Romanian Company focused on entrepreneurship (without corporate culture and standards)  -Providing compliance training to about 300 persons  -Investigation of compliance incidents (6 incidents in 6 months) and solving them according to the company policies and business objectives  - Offering compliance advice (95 advice in 6months)  - Supervising major projects with Key Stakeholders of the company (CFR, ANRE, CNADNR) according to the business needs of the company.  **EnergoBit Group (Energy industry)**  **Str. Preciziei nr. 20, Bucharest/6** |

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| Dates | 10 May 2010 → June 2015 | | | | | | | | | | |
| Occupation or position held | **Compliance Manager for CEO/CFO Divisions and Gas & Power Division (OMV Petrom S.A)**  -Compliance Risk Management, Implementation of the compliance policies and internal regulations (Anti-Fraud, Anti Money Laundering, Corporate Gifts, Stakeholders Management, Issuer Compliance, Data protection, Competition law) | | | | | | | | | | |
| Main activities and responsibilities      Major achievements | -Implementing the Compliance Program (elaborating Code of Conduct, Business Ethics Directive, Issuer Compliance Directive, Anti-Trust Internal Standard)  - Investigating the compliance incidents (reporting, compliance assessment, compliance investigation, compliance remediation, follow up regarding implementation of compliance measures)  - working closely with Internal Audit Department regarding compliance investigation  - offering compliance advisement regarding all questions and requests received on the Compliance Communication Channels  - implement the annually compliance plan (participation at the Executive Board meetings – monthly) and presenting the compliance status  -providing yearly Compliance Training (Business Ethics, Issuer Compliance, Anti-Trust, Human Rights, Stakeholders Engagement) to managers and key persons from Business Divisions  -Providing compliance training to about 500 persons yearly (Business Ethics, Insider Trading, Competition Law, Human Rights Matrix)  -Investigation of compliance incidents (more than 80 incidents in 5 years) and solving them according to the company policies and business objectives  - Offering compliance advice (more than 600 advice in 5 years)  -Creating a functional database – MoWPA (Monitor of Working Points Administration) to assure the compliance regarding all authorizations/permits needed for the company to function according to the requirements of the laws and regulations  - Coordinating the compliance due diligence procedures regarding third parties and intermediaries  - Coordinating the KYC (Know Your Customer) Program for Gas & Power Division of OMV Petrom S.A.  - Coordinating the Critical Infrastructure Program for OMV Petrom (designation of OMV Petrom assets as critical infrastructure objectives) together with Ministry of Administration and Interior (Minister Level)  - Supervising major projects with Key Stakeholders of the company (ANRE, CNADNR, Ministry of Administration and Interior and Romanian Authority for National Reserves and Special Problems) according to the business needs of the company. | | | | | | | | | | |
| Name and address of employer | **OMV Petrom S.A. – Bucharest – part of OMV Group, Austria**  **Str. Coralilor nr. 12, Bucharest/1, Romania.** | | | | | | | | | | |
| Type of business or sector  Dates    Occupation or position held  Main Activities and responsibilities        Major achievements        Name and address of employer    Type of business or sector  Dates    Occupation or position held    Main activities and responsibilities    Name and address of employer    Type of business and sector | Compliance & Legal - Private Sector  10 March 2008 – 10 May 2010  **Compliance Officer (Ubisoft Entertainment Ltd)**   * Implementation of the compliance policies and internal regulations (Anti-Fraud, Corporate Gifts, Data Protection) * Compliance Training for managers and key persons from Business Divisions * Investigating the compliance incidents together with the Compliance team from Headquarter (Paris) * Cooperating with Internal Audit Department regarding any compliance investigation * Offering compliance advice to all business functions regarding all questions and requests received on the compliance communication channels * Legal advisement regarding intellectual property law (trademarks, industrial design) * Negotiating and concluding contracts regarding mergers and acquisitions, buy-sell   -Providing compliance training to about 120 persons yearly  -Investigation of compliance incidents (more than 25 incidents in 2 years) and solving them according to the company policies and business objectives  - Offering compliance advice (more than 90 advice in 2 years)  - Supervising major projects with Key Stakeholders of the company (Office for Harmonization in the Internal Market – OHIM, Romanian Office for Inventions and Trademarks - OSIM, Romanian Office for Copyright - ORDA) according to the company policies regarding trademarks and copyright.  **Ubisoft Entertainment Ltd – Bucharest & Paris Office**  Bul. Expozitiei nr 2, Bucharest/1, Romania  Compliance & Legal – Private Sector  22 January 2007- 10 March 2008  **Compliance Officer**  -Implementation of the compliance policies and internal regulations (Anti-Fraud, Corporate Gifts)  -Legal advisement regarding competition law  -Legal advisement regarding Council [Regulation](http://en.wikipedia.org/wiki/Regulation_(European_Union)) 139/2004 EC (the Merger Regulation).  **Cabinet M. Oproiu S.R.L**.  Str. Popa Savu nr. 31, Bucharest/1, Romania  Compliance & Legal – Private Sector | | | | | | | | | | |
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| Dates | 30 June 2005 - 22 January 2007 | | | | | | | | | | |
| Occupation or position held | **Attorney at Law** | | | | | | | | | | |
| Main activities and responsibilities | - Legal advisement regarding Office litigations (Trademarks, Patents, Industrial Design)  - Legal advisement regarding Council [Regulation](http://en.wikipedia.org/wiki/Regulation_(European_Union)) 139/2004 EC (the Merger Regulation).  - Legal advisement regarding procurement, acquisitions, buy sell contracts | | | | | | | | | | |
| Name and address of employer | **Romanian Office for Patents and Trademarks**  Str. Ion Ghica nr. 5, Bucharest/3, Romania | | | | | | | | | | |
| Type of business or sector | Compliance & Legal - Public Sector | | | | | | | | | | |
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| Dates | 12 October 2000 - 30 June 2005 | | | | | | | | | | |
| Occupation or position held | **Attorney at Law** | | | | | | | | | | |
| Main activities and responsibilities | - Legal advisement regarding foreign trade procedures  - Maintaining the relations with state authorities  - Assistance with international contracts  - Promotion (quantitative research and data sets analyses) | | | | | | | | | | |
| Name and address of employer | **Frati Technics SRL Bucharest** | | | | | | | | | | |
| Type of business or sector | Legal & Compliance - Private Sector | | | | | | | | | | |
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| **Education and training**  Dates  Title of qualification awarded  Principal subjects / occupational skills covered  Name and type of organisation providing education and training  Level in national or international classification | April 2013 – December 2013  **International Diploma in Compliance (International Compliance Association)**  Anti-Fraud Policy, Corruption, Anti Money Laundering,  Regulatory Compliance, Anti-Trust laws/rules/restrictions  **University of Manchester/ International Compliance Association**  MBA - Post Graduate Diploma | | | | | | | | | | |
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| Dates | 22 January 2003 - 10 of May 2004 | | | | | | | | | | |
| Title of qualification awarded | **Master Diploma** | | | | | | | | | | |
| Principal subjects / occupational skills covered | - Anti Fraud and Compliance  - European Union Institutions  - Copyright and Trademarks Law | | | | | | | | | | |
| Name and type of organisation providing education and training | **Nelson A. Rockefeller College of Public Affairs & Policy, State University of New York, University at Albany, United States of America.** | | | | | | | | | | |
| Level in national or international classification | Master Degree | | | | | | | | | | |
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| Dates | 01/10/1998 - 20/06/2002 | | | | | | | | | | |
| Title of qualification awarded | **Bachelor Diploma** | | | | | | | | | | |
| Principal subjects / occupational skills covered | - International Relations  - European Community Law  - European Community Institutions | | | | | | | | | | |
| Name and type of organisation providing education and training | **University of Bucharest - Romania, Faculty of Political Science** | | | | | | | | | | |
| Level in national or international classification | Bachelor Degree | | | | | | | | | | |
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| Dates | 01 October 1996 - 10 June 2000 | | | | | | | | | | |
| Title of qualification awarded | **Bachelor Diploma** | | | | | | | | | | |
| Principal subjects / occupational skills covered | - Civil Law, Commercial Law, Trademarks and Copyright Law  - European Community Law. | | | | | | | | | | |
| Name and type of organisation providing education and training | **University of Bucharest - Romania, Faculty of Law** | | | | | | | | | | |
| Level in national or international classification | Bachelor Degree | | | | | | | | | | |
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| Personal skills and competences |  | | | | | | | | | | |
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| Mother tongue(s) | Romanian | | | | | | | | | | |
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| Other language(s) |  | | | | | | | | | | |
| Self-assessment |  | Understanding | | | | Speaking | | | | Writing | |
| European level (\*) |  | Listening | | Reading | | Spoken interaction | | Spoken production | |  | |
| English |  | C2 | Proficient user | C2 | Proficient user | C2 | Proficient user | C2 | Proficient user | C2 | Proficient user |
| French |  | B2 | Independent user | C1 | Proficient user | B2 | Independent user | B2 | Independent user | B2 | Independent user |
| Italian |  | B2 | Independent user | B2 | Independent user | B2 | Independent user | B2 | Independent user | B2 | Independent user |
| German |  | A2 | Basic User | A2 | Basic User | A2 | Basic User | A2 | Basic User | A2 | Basic User |
|  | (\*) Common European Framework of Reference (CEF) level | | | | | | | | | | |
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| Social skills and competences | - An enthusiastic organizer, skilful in communicating effectively with people from diverse cultures. | | | | | | | | | | |
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| Organisational skills and competences | - Highly theoretical, analytical, team player, detailed-oriented and accurate. | | | | | | | | | | |
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| Computer skills and competences | - SPSS, SAP, Oracle 8, Windows XP Applications, Microsoft Office XP (MS Word, Excel, Access, Power Point, Outlook), G Drive & Applications . | | | | | | | | | | |
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| Artistic skills and competences | - Political Philosophy, History, Classical Music. | | | | | | | | | | |
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| Other skills and competences | - Football, Tennis, and Travelling. | | | | | | | | | | |
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| Driving licence | - Category B | | | | | | | | | | |
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| Additional information | Research Papers:   1. Conformitatea, cheia unei afaceri de success – book published by “Editura Expert”, 2014 – first book on Corporate Compliance published in Romania 2. Regulatory and Corporate Risk regarding the Romanian Legislation from Gas & Oil field, 2013 3. Corruption risks regarding Oil & Gas Sector, 2013 4. The Great Powers Involvement in the Regional Conflicts. A quantitative analysis regarding Eastern Europe (1816-1945) – Master Essay dissertation defended at Nelson A. Rockefeller College of Public Affairs & Policy, State University of New York, University at Albany. 5. Contributor of Macmillan Encyclopaedia Governments of the World – author of the entries about Romania, Czech Republic and Slovakia. 6. Forms and Modalities of Parliamentary Control in Constitutional Law. A new perspective reflected in the European Union Legislation – License Diploma dissertation defended at Faculty of Political Science, University of Bucharest. 7. Comparison between Romanian and European legislation regarding commercial banks – License Diploma dissertation defended at Faculty of Law, University of Bucharest | | | | | | | | | | |

Bogdan Fratiloiu

30.07.2024